

162

PTO/SB/21 (04-07)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/796,800
Filing Date	March 9, 2004
First Named Inventor	Nobushige ICHIKAWA
Art Unit	3749
Examiner Name	Gregory A. WILSON
Attorney Docket Number	0388-043647

ENCLOSURES (Check all that apply)

- | | | |
|---|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input checked="" type="checkbox"/> Fee Attached
<input type="checkbox"/> Amendment/Reply
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input checked="" type="checkbox"/> Information Disclosure Statement

<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Reply to Missing Parts/
Incomplete Application
<input type="checkbox"/> Reply to Missing Parts
under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers

<input type="checkbox"/> Petition
<input type="checkbox"/> Petition to Convert to a
Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation
Change of Correspondence Address

<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Refund

<input type="checkbox"/> CD, Number of CD(s) _____
<input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC

<input type="checkbox"/> Appeal Communication to Board
of Appeals and Interferences

<input type="checkbox"/> Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

<input type="checkbox"/> Proprietary Information

<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Other Enclosure(s) (please identify
below):
Check Amount \$180.00 |
|---|--|---|

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	The Webb Law Firm		
Signature			
Printed name	Thomas C. Wolski		
Date	May 18, 2007	Reg. No.	55,739

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Lisa A. Miller	Date	May 18, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Application No. 10/796,800
IDS Dated: May 18, 2007
Attorney Docket No. 0388-043647



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/796,800 Confirmation No. : 3160
Applicant : Nobushige ICHIKAWA et al.
Filed : March 9, 2004
Title : **Work-Vehicle Cabin Having Air-Conditioning Unit**
Group Art Unit : 3749
Examiner : Gregory A. Wilson
Customer No. : 28289

MAIL STOP: ISSUE FEE
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the requirements of 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicants hereby submit this Information Disclosure Statement ("IDS"), a completed Form PTO/SB/08a and one copy of each Japanese reference identified therein. Applicants respectfully request that this IDS and the documents listed below and identified in Form PTO/SB/08a be made of record.

05/22/2007 SSITHIB1 00000008 10796800

01 FC:1806

180.00 OP

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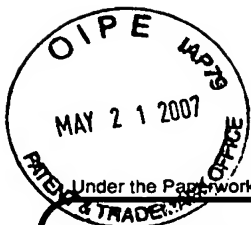
Lisa A. Miller

(Name of Person Mailing Paper)

Signature

05/18/2007

Date



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Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2006

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number	10/796,800
Filing Date	March 9, 2004
First Named Inventor	Nobushige ICHIKAWA
Examiner Name	Gregory A. WILSON
Art Unit	3749
Attorney Docket No.	0388-043647

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 23-0650 Deposit Account Name: The Webb Law Firm

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below

☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17

☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description

	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims Extra Claims Fee (\$) Fee Paid (\$)

- 20 or HP = x =

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims Extra Claims Fee (\$) Fee Paid (\$)

- 3 or HP = x =

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)

- 100 = / 50 = (round up to a whole number) x =

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Late Information Disclosure Statement

Fees Paid (\$)

180.00

SUBMITTED BY

Signature		Registration No. (Attorney/Agent) 55,739	Telephone 412-471-8815
Name (Print/Type)	Thomas C. Wolski		Date May 18, 2007

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

This IDS is being submitted before mailing the payment for the issue fee. Each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. Specifically, each item of information contained herein was first cited in Office Actions relating to Japanese patent applications (JP 2003-16775; JP 2003-167776; and JP 2003-188003) from which the instant application's priority is claimed that were at the earliest received on or about April 26, 2007.

Each item of information contained in this IDS is a foreign patent or patent application. A concise explanation of each reference's relevance in accordance with 37 C.F.R. § 1.98 (a)(3)(i) as presently understood by individuals designated under 37 C.F.R. § 1.56(c) who are most knowledgeable about the content of each item identified therein; or partial English translations in accordance with 37 C.F.R. § 1.98 (a)(3)(ii) that are within the possession, custody or control of, or that are readily available to any individual designated under 37 C.F.R. § 1.56(c) for the instant application are provided with this IDS.

JP 2002-61936 is relevant because it discloses an opening closing member [23], which is shown in FIG 1.

JP 7-40912 is relevant because it discloses a lower connecting flange [13].

JP 58-100108 is relevant because it discloses a car with an air conditioner [1] with a heater core [2]. It further discloses a shutter [9] for switching between internal air and external air for intake by the air conditioner [1]. The shutter [9] has a one way valve member [92] of an elastic material such as rubber that allows air to escape when the internal pressure is applied to the valve member [92].

JP 52-22899 is relevant because it discloses detent mechanisms (FIGs 1-4).

JP 55-19241 is relevant because it discloses a detent mechanism (FIG 1).

JP 55-165321 is relevant because it discloses detent mechanisms (FIGs 1 and 2).

JP 2002-347666 is relevant because it discloses eaves in the rear portion of the roof (FIGs 2 and 9). It further discloses a rear window [23] that can be opened by pivoting about a pivot [P3] (FIG 9). The window may have hinges [22] (FIG 2).

Application No. 10/796,800
IDS Dated: May 18, 2007
Attorney Docket No. 0388-043647

JP 58-54466 is relevant because it discloses eaves that may extend over an opened front windshield [4a].

JP 2003-49449 is relevant because it discloses lamps on the right and left part of the roof.

All of the translations within the possession, custody or control of, or readily available to individuals designed under 37 C.F.R. § 1.56(c) for the instant application have been enclosed. The enclosed translations are partial translations of JP 2-148816, JP 2000-175543, and JP 2001-39153, which also serve as concise explanations of the relevance of these references.

Pursuant to 37 C.F.R. §§ 1.97(c) and 1.17(p), a fee of \$180.00 is enclosed for the submission of this IDS, as it is being submitted after the mailing date of a Notice of Allowance under § 1.311 and before the mailing date of the payment of the issue fee. The Commissioner for Patents is hereby authorized to charge any fees which may be required to Deposit Account No. 23-0650. Please refund any overpayment to Deposit Account No. 23-0650. One (1) original and two (2) copies of this IDS are enclosed.

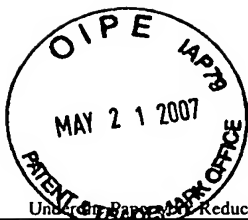
The Applicants submit that they have complied with the requirements set forth in 37 C.F.R. §§§ 1.56, 1.97 and 1.98, and therefore respectfully request that this IDS and the accompanying references be considered and made of record.

Respectfully submitted,

THE WEBB LAW FIRM

By 

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PTO/SB/08a (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/796,800
				Filing Date	March 9, 2004
				First Named Inventor	Nobushige ICHIKAWA
				Art Unit	3749
				Examiner Name	Gregory A. WILSON
Sheet	1	of	1	Attorney Docket Number	0388-043647

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)				
	1	JP	52-22899				
	2	JP	55-19241				
	3	JP	55-165321				
	4	JP	58-54466	04-13-1983			
	5	JP	58-100108	07-07-1983			
	6	JP	2-148816	12-18-1990		Partial Translation	X
	7	JP	7-40912	09-20-1995			
	8	JP	2000-175543	06-27-2000		Partial Translation	X
	9	JP	2001-39153	02-13-2001		Partial Translation	X
	10	JP	2002-61936	02-28-2002			
	11	JP	2002-347666	12-04-2002			
	12	JP	2003-49449	02-21-2003			

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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